COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

THE APPLICATION	FOR TRANSFER OF)
PARADISE VALLEY	SEWAGE TREATMENT PLANT,) CASE NO. 89-064
INC. TO APPALAC	HIAN WASTE CONTROL, INC.	j

ORDER

IT IS ORDERED that Appalachian Waste Control, Inc., shall file the original and 10 copies of the following information with the Commission with a copy to all parties of record no later than May 12, 1989. If the information cannot be provided by this date, Appalachian Waste Control should submit a motion for an extension of time stating the reason a delay is necessary and include a date by which it will be furnished. Such motion will be considered by the Commission.

- 1. Provide a list of Appalachian Waste Control, Inc.'s corporate officers and the position which each holds.
- 2. List the name of each person having an ownership interest in Appalachian Waste Control, Inc. Identify the size of each person's ownership interest.
- 3. What other sewage treatment facilities, if any, does Appalachian Waste Control, Inc. own or operate? For each facility named, state the length of time which Appalachian Waste Control, Inc. has owned or operated it.
- 4. The Agreement between Paradise Valley Sewage Plant, Inc. and Appalachian Waste Control, Inc. concerns the "sale of

operation and management" of the Paradise Valley Sewage Treatment Facility. Describe what is being sold. Does this transaction include the sale of the treatment facility and the transfer of the treatment facility's ownership?

- 5. Identify the person who will be primarily responsible for the daily operations of the Paradise Valley Subdivision Sewage Treatment Plant if the transfer is approved. List his qualifications. Is he certified by the Kentucky Board of Certification of Wastewater System Operators? If yes, identify the highest class of system for which he is certified to operate and his certificate number. If no, then when does he expect to be certified?
- 6. Identify what equipment which is currently owned by Appalachian Waste Control, Inc. will be used to operate and maintain the Paradise Valley Subdivision Sewage Treatment Facility.
- 7. Provide a copy of Appalachian Waste Control, Inc.'s federal and state income tax returns for 1988. If no such returns were filed, please state so.
- 8. Provide the most current financial statement for Appalachian Waste Control, Inc.
- 9. If Appalachian Waste Control, Inc. owns and operates other sewage treatment facilities, will it be maintaining separate records for the Paradise Valley Subdivision Sewage Treatment Facility?
- 10. Provide a statement showing the original cost of the plant. If the original cost is not known, provide an estimate of

original cost. If an estimate is provided, include an explanation of the basis for any estimates.

- 11. Provide the proposed journal entries on the books of both purchaser and seller which will reflect the sale and transfer.
- 12. If financing is required to purchase the sewage treatment facility, provide the type, amount, and applicable interest rate.
- 13. Has Appalachian Waste Control, Inc. applied to the Division of Water for transfer of the KPDES permit from Paradise Valley Sewage Plant, Inc.? If no, when does Appalachian Waste Control expect to do so?
- 14. Who will be responsible for filing an annual report for that portion of the 1989 calendar year in which Paradise Valley Sewage Plant, Inc. operated the sewage treatment facility?

Done at Frankfort, Kentucky this 3rd day of May, 1989.

PUBLIC SERVICE COMMISSION

Por The Commission

ATTEST: